



Attorney's Docket No.: 17102-006001 /1755

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Dana Ault Riche, et al.
Serial No. : 10/699,113
Filed : October 30, 2003
Cust. No. : 20985
Title : SELF-ASSEMBLING ARRAYS AND USES THEREOF

Art Unit : 1639
Examiner : Unknown
Conf. No. : 7835

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

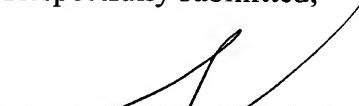
TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith is an Preliminary Amendment (54 pages), Five (5) Filing Receipts (8 pages), PVDF description from Sigma Aldrich (1 page), and return postcard in connection with the above-referenced patent application.

- The Commissioner is hereby authorized to charge the fee for the extension of time and any other fee that may be due in connection with this and the attached papers or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,


Stephanie L. Seidman
Reg. No. 33,779

Dated: August 6, 2004

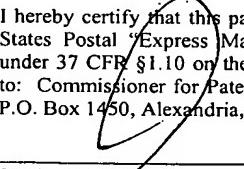
Attorney Docket No. 17102-006001 / 1755

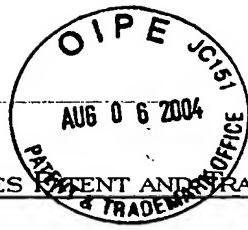
Address all correspondence to:

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San Diego, California 92130
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Date of Deposit: August 6, 2004

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.


Stephanie L. Seidman



UNITED STATES PATENT AND TRADEMARK OFFICE

10/699 113

S2S

17102-005001

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/699,088	10/30/2003	1645	1691	17102-005001 / 25885-1754	14	134	8

20985
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12390 EL CAMINO REAL
SAN DIEGO, CA 92130-2081

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JUL 16 2004

FISH & RICHARDSON, P.C.
SAN DIEGO

CONFIRMATION NO. 7850

UPDATED FILING RECEIPT



OC000000013218303

Date Mailed: 07/13/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Dana Ault-Riche, Los Gatos, CA;
Bruce Atkinson, Burlingame, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/422,923 10/30/2002
and claims benefit of 60/423,018 10/30/2002

Foreign Applications

If Required, Foreign Filing License Granted: 03/16/2004

Projected Publication Date: 10/21/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Methods for producing polypeptide-tagged collections and capture systems containing the tagged polypeptides

DOCKETED

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**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

To:
 STEPHANIE L. SEIDMAN
 HELLER EHRLMAN WHITE & MCAULIFFE LLP
 4350 LA JOLLA VILLAGE DRIVE, 7TH FLOOR
 SAN DIEGO, CALIFORNIA 92122-1246

PCT

**NOTIFICATION OF THE INTERNATIONAL
APPLICATION NUMBER AND OF THE
INTERNATIONAL FILING DATE**

(PCT Rule 20.5(c))

		Date of mailing (day/month/year)	14 Jan 2004
Applicant's or agent's file reference 25885-1754PC		IMPORTANT NOTIFICATION	
International application No. PCT/US03/34821	International filing date (day/month/year) 30 Oct 2003	Priority date (day/month/year) 30 Oct 2002	
Applicant POINTILLISTE, INC.			
Title of the invention METHODS FOR PRODUCING POLYPEPTIDE-TAGGED COLLECTIONS AND CAPTURE SYSTEMS CONTAINING THE TAGGED POLYPEPTIDES			
<p>1. The applicant is hereby notified that the international application has been accorded the international application number and the international filing date indicated above.</p> <p>2. The applicant is further notified that the record copy of the international application:</p> <p><input checked="" type="checkbox"/> was transmitted to the International Bureau on 14 Jan 2004</p> <p><input type="checkbox"/> has not yet been transmitted to the International Bureau for the reason indicated below and a copy of this notification has been sent to the International Bureau*:</p> <p><input type="checkbox"/> because the necessary national security clearance has not yet been obtained.</p> <p><input type="checkbox"/> because (reason to be specified):</p> <p>* The International Bureau monitors the transmittal of the record copy by the receiving Office and will notify the applicant (with Form PCT/IB/301) of its receipt. Should the record copy not have been received by the expiration of 14 months from the priority date, the International Bureau will notify the applicant (Rule 22.1(c)).</p>			
<p>3. FOREIGN TRANSMITTAL LICENSE INFORMATION Completed by: RD</p> <p><input type="checkbox"/> Additional license for foreign transmittal not required. This subject matter is covered by a license already granted or the equivalent U.S. national application. Refer to that license for information concerning its scope.</p> <p><input type="checkbox"/> License for foreign transmittal not required. 37 CFR. 5.11(e)(1) or 37 CFR 5.11(e)(2). However, a license may be required for additional subject matter. See 37 CFR 5.15(b).</p> <p><input checked="" type="checkbox"/> Foreign transmittal license granted. 35 U.S.C. 184; 37 CFR 5.11 on 09 Jan 2004 : <input type="checkbox"/> 37 CFR 5.15(a) <input checked="" type="checkbox"/> 37 CFR 5.15(b)</p>			
Name and mailing address of the receiving Office Mail Stop PCT, Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450 Facsimile No. 703-305-3230	Authorized officer Rita Dozier  Telephone No. 703-305-3759		



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/699,114	10/30/2003	1645	916	25885-1759	14	79	1

CONFIRMATION NO. 7825

Stephanie Seidman
 Heller Ehrman White & McAuliffe LLP
 7th Floor
 4350 La Jolla Village Drive
 San Diego, CA 92122-1246

FILING RECEIPT



OC000000011968899

Date Mailed: 02/25/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ault-Riche, Residence Not Provided;

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FISH & RICHARDSON, P.C.
 SAN DIEGO

Foreign Applications

If Required, Foreign Filing License Granted: 02/20/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Systems for capture and analysis of biological particles and methods using the systems

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Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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SAN DIEGO, CALIFORNIA 92122-1246

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**NOTIFICATION OF THE INTERNATIONAL
APPLICATION NUMBER AND OF THE
INTERNATIONAL FILING DATE**

(PCT Rule 20.5(c))

		Date of mailing (day month year)	30 Dec 2003
Applicant's or agent's file reference 25885-1759PC		IMPORTANT NOTIFICATION	
International application No. PCT/US03/34693	International filing date (day/month/year) 30 Oct 2003	Priority date (day/month/year) 30 Oct 2002	
Applicant POINTILLISTE, INC.			
Title of the invention SYSTEMS FOR CAPTURE AND ANALYSIS OF BIOLOGICAL PARTICLES AND METHODS USING THE SYSTEMS			

1. The applicant is hereby notified that the international application has been accorded the international application number and the international filing date indicated above.

2. The applicant is further notified that the record copy of the international application:

30 Dec 2003

- was transmitted to the International Bureau on _____
- has not yet been transmitted to the International Bureau for the reason indicated below and a copy of this notification has been sent to the International Bureau*:
- because the necessary national security clearance has not yet been obtained.
- because (reason to be specified): _____

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- License for foreign transmittal not required. 37 CFR 5.11(e)(1) or 37 CFR 5.11(e)(2). However, a license may be required for additional subject matter. See 37 CFR 5.15(b).
- Foreign transmittal license granted. 35 U.S.C. 184; 37 CFR 5.11 on _____
(date)
 37 CFR 5.15(a) 37 CFR 5.15(b)

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**NOTIFICATION OF THE INTERNATIONAL
APPLICATION NUMBER AND OF THE
INTERNATIONAL FILING DATE**

(PCT Rule 20.5(c))

		Date of mailing (day/month/year) 12 Jan 2004
Applicant's or agent's file reference 25885-1755PC		IMPORTANT NOTIFICATION
International application No. PCT/US03/34747	International filing date (day/month/year) 30 Oct 2003	Priority date (day/month/year) 10 Feb 2003
Applicant POINTILLISTE, INC.		
Title of the invention SELF-ASSEMBLING ARRAYS AND USES THEREOF		

1. The applicant is hereby notified that the international application has been accorded the international application number and the international filing date indicated above.

2. The applicant is further notified that the record copy of the international application:

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3. FOREIGN TRANSMITTAL LICENSE INFORMATION

Completed by: LR

- Additional license for foreign transmittal not required. This subject matter is covered by a license already granted or the equivalent U.S. national application. Refer to that license for information concerning its scope.
- License for foreign transmittal not required. 37 CFR 5.11(e)(1) or 37 CFR 5.11(e)(2). However, a license may be required for additional subject matter. See 37 CFR 5.15(b).
- Foreign transmittal license granted. 35 U.S.C. §84; 37 CFR 5.11 on _____ 07 Jan 2004
(date)

37 CFR 5.15(a)

37 CFR 5.15(b)

Name and mailing address of the receiving Office
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Authorized officer
Latrice Russell
Telephone No. 703-306-5829